



# Redcape Hotel Group

Redcape Hotel Trust I ARSN 629 354 614; and  
Redcape Hotel Trust II ARSN 629 354 696

## Identification Form - Australian Regulated Trusts (including Self-Managed Super Funds)

### Guide to completing this form

- This form is for AUSTRALIAN REGULATED TRUSTS only. Australian Regulated Trusts include self-managed super funds, registered managed investment schemes, unregistered managed investment schemes, government superannuation funds or other Trusts subject to the regulatory oversight of an Australian regulator.
- For Trusts that are not subject to the oversight an Australian regulator, complete the UNREGULATED AUSTRALIAN TRUSTS & FOREIGN TRUSTS IDENTIFICATION FORM.
- Collect information about the Trust and one Trustee. The identity of the Trust must be verified (not the Trustee).
- Complete all applicable sections of this form in BLOCK LETTERS.
- Contact MA Financial Group Client Services via [MAclientservices@MAFinancial.com](mailto:MAclientservices@MAFinancial.com) or by telephone on +61 2 8288 5594 for any queries.

## Section 1 – Regulated Trust Identification Procedure

### 1.1 General Information

Full name of Trust

Country where trust established (only required if not Australia)

Full business name of trustee in respect of the trust (if any)

### 1.2 Contact Information

Full given name(s)

Surname

Email

Phone number

### 1.3 Type of Regulated Trust

Select one of the following type of Regulated Trust

**Self-Managed Superannuation Fund**

Provide the SMSF's ABN

**Registered managed investment scheme**

Provide Australian Registered Scheme Number (ARSN)

**Unregistered managed investment scheme** (Where the scheme is not registered by ASIC, only has wholesale clients and does not make small scale offerings to which section 1012E of the Corporations Act 2001 applies)

Provide the unregistered managed investment scheme's ABN

**Government superannuation fund**

Provide name of the legislation establishing the fund

**Other regulated Trust** (A trust that is subject to the regulatory oversight of a Commonwealth, State or Territory statutory regulator such as an approved deposit fund, a pooled superannuation trust or an APRA-regulated superannuation fund)

Provide name of the regulator (e.g. ASIC, APRA, ATO)

Provide the Trust's ABN or registration/licensing details

Other types of Trusts (e.g. family, unit, charitable, estate) or Trusts regulated by a foreign regulatory body should complete the **UNREGULATED AUSTRALIAN TRUSTS & FOREIGN TRUSTS IDENTIFICATION FORM**, rather than this form.

## Section 2 – Trustee Identification Procedure

**(Please complete EITHER section 2.1 OR section 2.2)**

For Australian Regulated Trusts, identification information is required for one of the Trustees. This information is only required for one Trustee, even if the Trust has a number of Trustees. Please provide identification information for either an individual Trustee (section 2.1) or a corporate Trustee (section 2.2).

### 2.1 Individual Trustee

**(To be completed if the selected Trustee is an individual)**

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address – (Note P.O. Box is not acceptable)

State

Postcode

Country

## 2.2 Company Trustee

(To be completed if the selected Trustee is an Australian Company. If the selected Trustee is a foreign company then complete the FOREIGN COMPANY IDENTIFICATION FORM in addition to this form)

### 2.2.1 Company Details

Full name as registered by ASIC

ACN

**Registered office address** – (Note P.O. Box is not acceptable)

State

Postcode

Country

**Principal place of business** (if any) – (Note P.O. Box is not acceptable)

State

Postcode

Country

### 2.2.2 Company Type

(Select one of the following company types)

Public (companies whose name does NOT include the word Pty or proprietary; generally listed companies), proceed to section 3

Proprietary (companies whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies), proceed to section 2.2.3

### 2.2.3 Directors

(To be completed for proprietary companies, not required for public companies as per 2.2.2)

**Provide the names of all directors**

**Director 1** – Full given name(s)

Surname

**Director 2** – Full given name(s)

Surname

**Director 3** – Full given name(s)

Surname

**Director 4** – Full given name(s)

Surname

If there are more directors, please provide details on a separate sheet and tick this box

## Section 3 – Regulated Trust Verification Procedure

### Information to be verified:

- Full name of the Trust
- That the Trust is a Self-Managed super fund; registered managed investment scheme, unregistered managed investment scheme, government superannuation fund or other regulated Trust, as applicable

### Verification options (select one of the following options used to verify the Trust)

Perform a search of the ASIC, ATO or relevant regulator's website (e.g. "Super Fund Lookup" at [www.abn.business.gov.au](http://www.abn.business.gov.au)).

A copy of an offer document of the managed investments scheme (e.g. a copy of a Product Disclosure Statement)

A copy or relevant extract of the legislation establishing the government superannuation fund sourced from a government website

### Important note

- Attach a copy of the ID documentation used to verify the Trust

## Privacy Statement

### MA Financial Group Privacy Statement:

The Responsible Entity, Manager and their service providers may collect, hold, and use Investors' personal information in order to service Investor's needs, service the needs of the Responsible Entity or the Manager and for other purposes permitted under the Privacy Act 1998 (Cth). Tax and company law also require some specific information to be collected in connection with investments and to provide this to certain Government authorities. Investors' information may be disclosed to the agents of the Responsible Entity or Manager and their service providers, including, without limitation, the fund administrator, on the basis that they deal with such information in accordance with the MA Financial Group privacy policy available at <https://mafinancial.com/privacy-policy/>. An Investor's personal information may also be used to administer, monitor, and evaluate products and services, gather, aggregate and report statistical information, assist the investor with any queries and take measures to detect and prevent fraud and other illegal activity. The Responsible Entity or Manager may also be allowed or obliged to disclose information by law and to report on risk management matters. Any Investors who have concerns about the completeness or accuracy of the information that the Responsible Entity or Manager has about them or who would like to access or amend their personal information should contact Manager or Responsible Entity at [MAclientservices@MAFinancial.com](mailto:MAclientservices@MAFinancial.com). Investors who wish to receive a copy of the MA Financial Group privacy policy should also contact the contact Manager or Responsible Entity. By providing information pursuant to this notice the Investor agrees to the Manager and Responsible Entity collecting, storing, using and disclosing personal information in accordance with the MA Financial Group privacy policy.

### Boardroom Pty Limited Privacy Statement:

Personal information in this form is collected by Boardroom Pty Limited ("Boardroom"), as registrar for the issuer of the securities you hold. Boardroom Pty Limited's privacy policy can be viewed on our website ([www.boardroomlimited.com.au](http://www.boardroomlimited.com.au)). Your personal information is required for administration of the register of security holdings. Should some or all of the requested information not be provided, correct administration of your security holding may not be possible. Your personal information may be disclosed to the issuer of the securities you hold, its or our related bodies corporate, external service companies such as print or mail service providers or otherwise as permitted by law. If, in accordance with the provisions of the Corporations Act the issuer of the securities you hold approves, you may be sent marketing material in addition to general corporate communications. You may elect not to receive marketing material by contacting Boardroom Pty Limited. You can obtain access to your personal information and (if required) advise of any incorrect, inaccurate, or out of date data information held, by contacting Boardroom Pty Limited on 1300 737 760.