



Redcape Hotel Group

Redcape Hotel Trust I ARSN 629 354 614; and
Redcape Hotel Trust II ARSN 629 354 696

Identification Form – Foreign Companies

Guide to completing this form

- This form is for FOREIGN COMPANIES only. For companies incorporated in Australia use the AUSTRALIAN COMPANIES IDENTIFICATION FORM.
- Complete one form for each Company.
- Complete separate INDIVIDUAL ID Forms for each of the Company's Beneficial Owners.
- Complete all applicable sections of this form in BLOCK LETTERS.
- Contact MA Financial Group Client Services via MAclientservices@MAFinancial.com or by telephone on +61 2 8288 5594 for any queries.

Section 1 – Foreign Company Identification Procedure

1.1 General Information

Full name of foreign Company

Country of formation / incorporation / registration

Select if registered by a foreign body and provide name of body

Companies incorporated in Australia should complete the **AUSTRALIAN COMPANIES IDENTIFICATION FORM**, rather than this form.

1.2 Contact Information

Full given name(s)

Surname

Email

Phone number

1.3 Is the Company registered with ASIC? (select ONE of the following)

Yes

Provide the SMSF's ABN

Provide EITHER principal place of business address in Australia OR Australian agent name and address details (Tick one box)
Address – (Note P.O. Box is not acceptable)

State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

Name of local agent in Australia

No

Provide Company identification number (if any) issued by the relevant registration body

Principal place of business in the Company's country of formation or incorporation – (Note P.O. Box is not acceptable)

State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

1.4 Registered Address of Company

Provide the registered address as registered with ASIC. If the Company is NOT registered with ASIC, provide the registered address in the country of formation, incorporation or registration (if any).

State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

1.5 Company Type (select only ONE of the following categories)

Private, proceed to 1.6

Public, proceed to 1.7

1.6 Directors (Required for all Private Companies as per 1.5, NOT required for Public Companies)

Provide the names of all directors

Director 1 – Full given name(s)

Surname

Director 2 – Full given name(s)

Surname

Director 3 – Full given name(s)

Surname

Director 4 – Full given name(s)

Surname

If there are more directors, please provide details on a separate sheet and tick this box

1.7 Listing and Regulatory Details (select any of the following categories if applicable)

Public Listed (companies that are subject to disclosure requirements that ensure transparency of Beneficial Ownership comparable to similar public listing requirements in Australia. Refers to listing on a financial market that by stock exchange rules, law or enforceable means promotes transparency of beneficial owner information.)

Name of market / disclosure regime

Country

Majority Owned Subsidiary of an Australian Public Listed Company (companies that are majority owned by an Australian Company that is listed on a financial market such as the ASX)

Australian listed Company name

Name of market / exchange

Regulated in Australia (subject to the supervision of an Australian Commonwealth, State or Territory statutory regulator beyond that provided by ASIC as a Company registration body. Examples include Australian Financial Services Licensees (AFSL); Australian Credit Licensees (ACL); or Registrable Superannuation Entity (RSE) Licensees).

Regulator name

Licence details (e.g. AFSL, ACL, RSE)

If any of the above are ticked, Proceed to Section 2

1.8 Beneficial Ownership

To be completed for all companies that are not Public Listed companies, majority owned by an Australian Public Listed Company or companies regulated in Australia as per 1.6

Are there any individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings)?

Yes (complete 1.8.1)

No (complete 1.8.2)

1.8.1 Shareholder Beneficial Owners

Provide the names of the individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings).

COMPLETE SEPARATE INDIVIDUAL CUSTOMER ID FORMS FOR EACH OF THESE INDIVIDUALS.

Full given name(s)

Surname

Full given name(s)

Surname

Full given name(s)

Surname

Full given name(s)

Surname

If Beneficial Owner name/s are provided above, proceed to section 2.

1.8.2 Other Beneficial Owners

If there are no individuals who meet the requirement of 1.7.1, provide the names of the individuals who directly or indirectly control* the company.

* includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding & practices; voting rights of 25% or more; or power of veto. If no such person can be identified then the most senior managing official/s of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

COMPLETE SEPARATE INDIVIDUAL CUSTOMER ID FORMS FOR EACH OF THESE INDIVIDUALS.

Full given name(s)	Surname	Role (such as Managing Director)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Full given name(s)	Surname	Role (such as Managing Director)
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more Beneficial Owners, provide details on a separate sheet and tick this box

Section 2 – Foreign Company Verification Procedure

Identification documentation is to be provided to verify the information listed in one of the verification procedure described below (either the standard verification procedure for companies registered with ASIC, the standard verification procedure for companies not registered with ASIC or the simplified verification procedure for Public Listed companies, Majority Owned Subsidiaries of Australian Public Listed companies or companies regulated in Australia as described in section 1.6 of this form).

Standard verification procedure for Foreign Companies registered with ASIC

Information to be verified:

- The full name of the Company as registered by ASIC
- The ARBN issued to the Company
- Whether it is registered by a foreign registration body and if so, whether it is registered as a private or public Company.

Verification options (select one of the following options used to verify the Company)

Perform a search of the relevant ASIC database.

Perform a search of the relevant foreign registration body.

If the ASIC or foreign registration body database is not reasonably available, an original or certified copy of the certification of registration issued by ASIC or by the relevant foreign registration body. *

Standard verification procedure for Foreign Companies NOT registered with ASIC

Information to be verified:

- The full name of the Company
- Whether it is registered by a foreign registration body and if so whether it is registered as a private or public Company
- The identification number issued to the Company

Verification options (select one of the following options used to verify the Company)

Perform a search of the relevant foreign registration body.

If the foreign registration body database is not reasonably available, an original or certified copy of the certification of registration issued by the relevant foreign registration body. *

Where the above means are unavailable, a disclosure certificate from the Company given by an individual acting as agent of the Company (where the agent has been verified). See your licensee for other disclosure certificate requirements. *

Simplified verification procedure for a regulated Company, a listed Company or a majority owned subsidiary of an Australian listed Company (as described in section 1.7 of this form)

Information to be verified:

- The full name of the company
- That the Company is a regulated Company, a listed Company or a majority owned subsidiary of an Australian listed Company (whichever is applicable).

Verification options (select one or more of the following options used to verify the Company)

Perform a search of the relevant financial market.

Perform a search of the relevant ASIC database.

Perform a search of the licence or other records of the relevant Commonwealth, State or Territory statutory regulator.

A public document issued by the Company. *

* Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

Important note

- Ensure that individual customer ID Forms have been provided for the Company's Beneficial Owners as per 1.8 AND
- Attach a copy of a document under Section 2 to verify the Company (and any required translation).

Privacy Statement

MA Financial Group Privacy Statement:

The Responsible Entity, Manager and their service providers may collect, hold, and use Investors' personal information in order to service Investor's needs, service the needs of the Responsible Entity or the Manager and for other purposes permitted under the Privacy Act 1998 (Cth). Tax and company law also require some specific information to be collected in connection with investments and to provide this to certain Government authorities. Investors' information may be disclosed to the agents of the Responsible Entity or Manager and their service providers, including, without limitation, the fund administrator, on the basis that they deal with such information in accordance with the MA Financial Group privacy policy available at <https://mafinancial.com/privacy-policy/>. An Investor's personal information may also be used to administer, monitor, and evaluate products and services, gather, aggregate and report statistical information, assist the investor with any queries and take measures to detect and prevent fraud and other illegal activity. The Responsible Entity or Manager may also be allowed or obliged to disclose information by law and to report on risk management matters. Any Investors who have concerns about the completeness or accuracy of the information that the Responsible Entity or Manager has about them or who would like to access or amend their personal information should contact Manager or Responsible Entity at MAclientservices@MAFinancial.com. Investors who wish to receive a copy of the MA Financial Group privacy policy should also contact the contact Manager or Responsible Entity. By providing information pursuant to this notice the Investor agrees to the Manager and Responsible Entity collecting, storing, using and disclosing personal information in accordance with the MA Financial Group privacy policy.

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